FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

OMB APPROVAL									
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* SHROTRIYA RAJESH C MD			2. Issuer Name and SPECTRUM INC [SPPI]				(Che	elationship of Repo eck all applicable) X Director	10	0% Owner				
(Last) 11500 S. EAST	(First) ERN AVE.	(Mido	lle)	3. Date of Earliest Tr. 01/15/2018	ansaction	ı (Moı	nth/Day/Year)				Officer (give till below)		ther (specify elow)	
SUITE 240				4. If Amendment, Da	te of Orig	inal F	Filed (Month/Da	Individual or Joint/Group Filing (Check Applicable Line)						
(Street) HENDERSON	NV	890:	52								Y Form filed by	One Reporting More than One		
(City)	(State)	(Zip)												
	T	able I	- Non-Deriva	tive Securities A	cquire	d, D	isposed of,	or Be	enefic	cial	ly Owned			
1. Title of Security	(Instr. 3)		2. Transaction Date (Month/Day/Yea	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transac Code (I 8)		4. Securities and Disposed Of (5)				5. Amount of Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership	
					Code	v	Amount	(A) or (D)	Price	•	Following Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)	(Instr. 4)	
Common Stock,	\$0.001 par value		01/15/2018	;	A		200,652(1)	A	\$0.0	00	200,652	D		
Common Stock,	\$0.001 par value		05/18/2017	,	<b>G</b> <sup>(2)</sup>	V	20,000	D	\$0.0	00	200,652(3)	D		
Common Stock,	\$0.001 par value		08/28/2017	,	G <sup>(4)</sup>	v	774	D	\$0.0	00	68,575	I	By Shrotriya Family Foundation	
Common Stock,	\$0.001 par value		12/22/2017	,	G <sup>(4)</sup>	v	1,431	D	\$0.0	00	67,144	I	By Shrotriya Family Foundation	
Common Stock,	\$0.001 par value										3,477,422	I	By RS Irrevocable Trust	
Common Stock,	\$0.001 par value										498,894	I	By CS Family Trust	
Common Stock,	\$0.001 par value										28,907	I	By 401(k)	
Common Stock,	\$0.001 par value										40,000	I	By ROTH IRA	
Common Stock,	\$0.001 par value										9,523	I	By Spouse	
Common Stock,	\$0.001 par value										170,473	I	By Shrotriya Gift Trust	

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (In 8)		5. Number of Expiration Date (Month/Day/Year) Securities Acquired (A) or Disposed of (D) ((Instr. 3, 4 and 5)			7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

## **Explanation of Responses:**

- 1. Dr. Shrotriya may be deemed to have acquired beneficial ownership of the shares of common stock reported herein as a result of the determination by the Compensation Committee that applicable performance criteria have been satisfied pursuant to the terms of Dr. Shrotriya's award agreement. Pursuant to the terms of the award agreement, the actual issuance by the Company of the shares of common stock reported herein is subject to Dr. Shrotriya's having paid or satisfied in full the amount of all federal, state and local taxes applicable to his taxable income resulting from the grant or vesting of the award or the issuance of shares under the award agreement.
- 2. This transaction involved a gift of securities by the reporting person to his two adult children and three grandchildren, who do not share the reporting person's household. The reporting person disclaims beneficial ownership of the shares held by his two children and three grandchildren, and this report should not be deemed an admission that the reporting person is the beneficial owner of his children or grandchildren's shares for purposes of Section 16 or any other purpose.
- 3. The number of shares deemed beneficially owned directly following the 2017 gift transaction was not adjusted since the gift pre-dated the deemed acquisition of beneficial ownership of the 200,652 shares noted in Table 1, Line 1 of this Form 4.
- 4. This transaction involved a gift of securities by the Shrotriya Family Foundation, a Nevada nonprofit corporation, to a nonprofit charitable organization. The reporting person has an indirect beneficial ownership, as a director and officer of the Shrotriya Family Foundation, a Nevada nonprofit corporation.

## Remarks:

/s/ Kurt A. Gustafson, attorney-in-fact for Rajesh C. 01/19/2018 Shrotriya

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.