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# Welcome

## Poziotinib: Data Review and Program Strategy

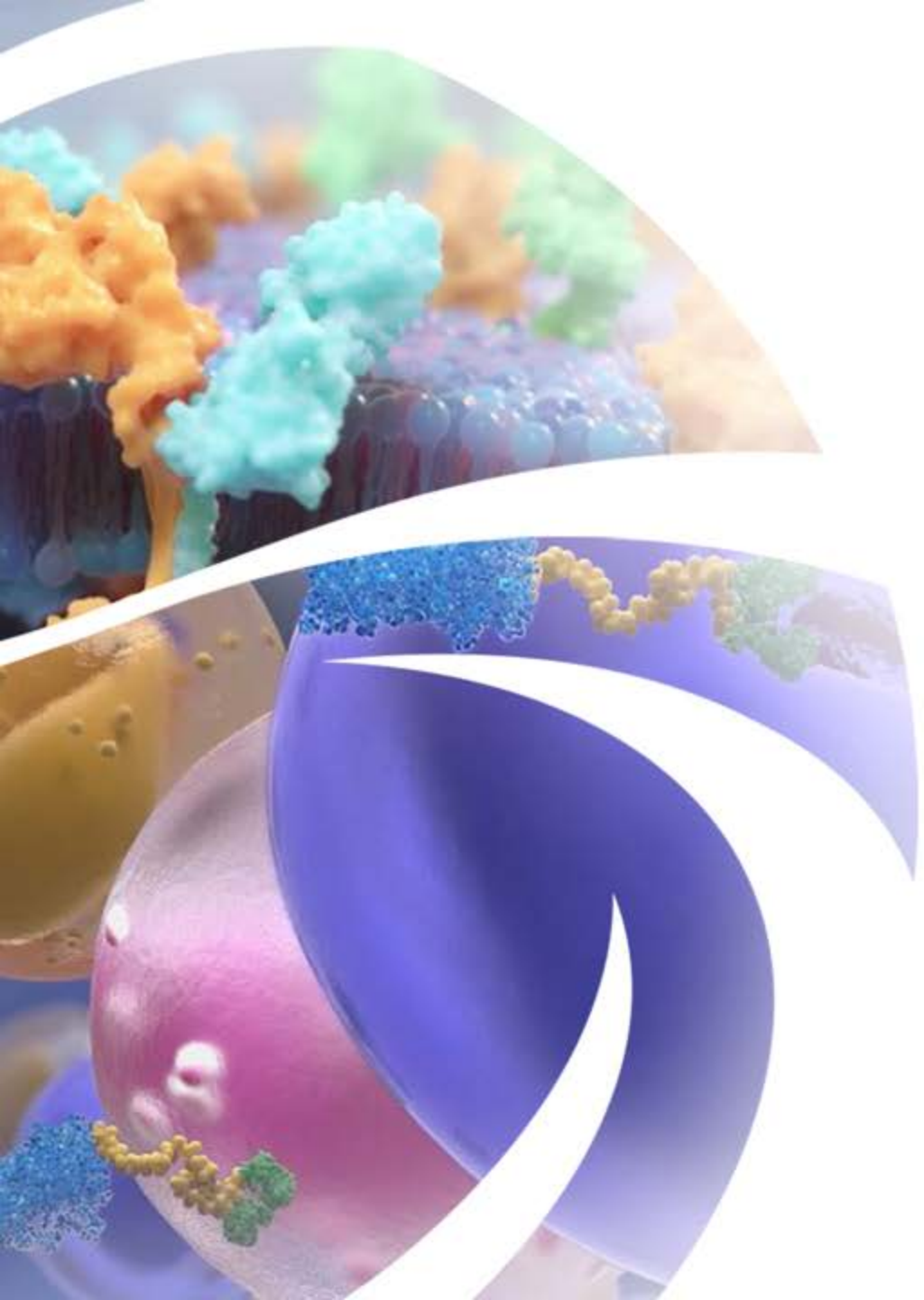
April 28, 2020

# Safe Harbor Statement

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This presentation contains forward-looking statements regarding future events and the future performance of Spectrum Pharmaceuticals that involve risks and uncertainties that could cause actual results to differ materially. These statements are based on management's current beliefs and expectations. These statements include but are not limited to statements that relate to our business and its future, our strategy, the success of our drug candidates, the safety and efficacy of our drug products, product approvals, market potential, product sales, revenue, development, regulatory and approval timelines, product launches, product acquisitions, capital resources and any statements that relate to the intent, belief, plans or expectations of Spectrum or its management, or that are not a statement of historical fact.

Risks that could cause actual results to differ include the possibility that our existing and new drug candidates may not prove safe or effective, the possibility that our existing and new drug candidates may not receive approval from the FDA and other regulatory agencies in a timely manner or at all, the possibility that our existing and new drug candidates, if approved, may not be more effective, safer or more cost efficient than competing drugs, the possibility that price and other competitive pressures may make the marketing and sale of our drugs not commercially feasible, the possibility that our efforts to acquire or in-license and develop additional drug candidates may fail, our lack of sustained revenue history, our limited experience in establishing strategic alliances, our limited marketing experience, our customer concentration, the possibility for fluctuations in customer orders, evolving market dynamics, our dependence on third parties for clinical trials, manufacturing, distribution, information and quality control and other risks that are described in further detail in the Company's reports filed with the Securities and Exchange Commission. We do not plan to update any such forward-looking statements and expressly disclaim any duty to update the information contained in this presentation except as required by law.



# CEO Introduction

**Joe Turgeon**

April 28, 2020



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# Phase 2 Study of Poziotinib in NSCLC with *EGFR* exon20 Insertion

***Mark A Socinski, MD***

***Chairman, Zenith20 Study Steering Committee***

Former Co-Chair of the Thoracic Malignancies Steering Committee, NCI  
AdventHealth, Orlando, Florida

April 28, 2020

# Disclosures

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- **Honoraria:** Genentech, AstraZeneca, Merck, Guardant, BMS, Bayer
- **Consulting or Advisory Role:** Genentech, AstraZeneca, Merck, Guardant, BMS, Bayer
- **Speaker's Bureau:** Genentech, AstraZeneca, Bayer, Merck, Guardant, BMS
- **Research Funding:** Spectrum, Genentech, Novartis, AstraZeneca



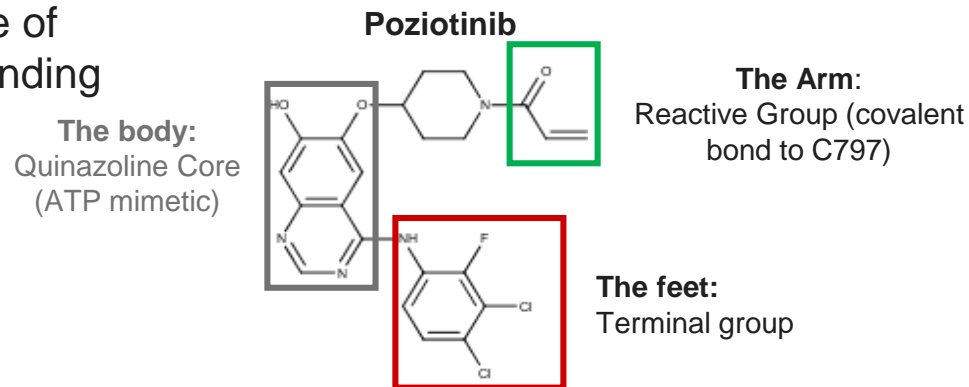
# Phase 2 study of poziotinib in NSCLC with *EGFR* exon20 insertion

***Xiuning Le<sup>1</sup>; Jonathan Goldman<sup>2</sup>; Jeffrey Clarke<sup>3</sup>; Nishan Tchekmedyian<sup>4</sup>; Zofia Piotrowska<sup>5</sup>; David Chu<sup>6</sup>; Gajanan Bhat<sup>7</sup>; Francois Lebel<sup>7</sup>; Mark A Socinski<sup>8</sup>  
on behalf of ZENITH20 Study Group***

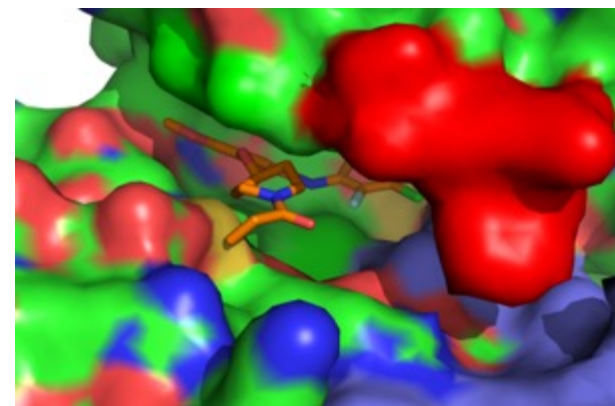
<sup>1</sup>MD Anderson Cancer Center, Houston, TX; <sup>2</sup>University of California, Los Angeles, Los Angeles, CA; <sup>3</sup>Duke University, Raleigh, NC; <sup>4</sup>Pacific Shore Medical Group, Long Beach, CA; <sup>5</sup>Massachusetts General Hospital, Boston, MA; <sup>6</sup>North Shore Hematology Oncology Associates P.C. <sup>7</sup>Spectrum Pharmaceuticals, Irvine, CA; <sup>8</sup>AdventHealth, Orlando, FL

# Poziotinib is a Quinazoline-based EGFR Inhibitor

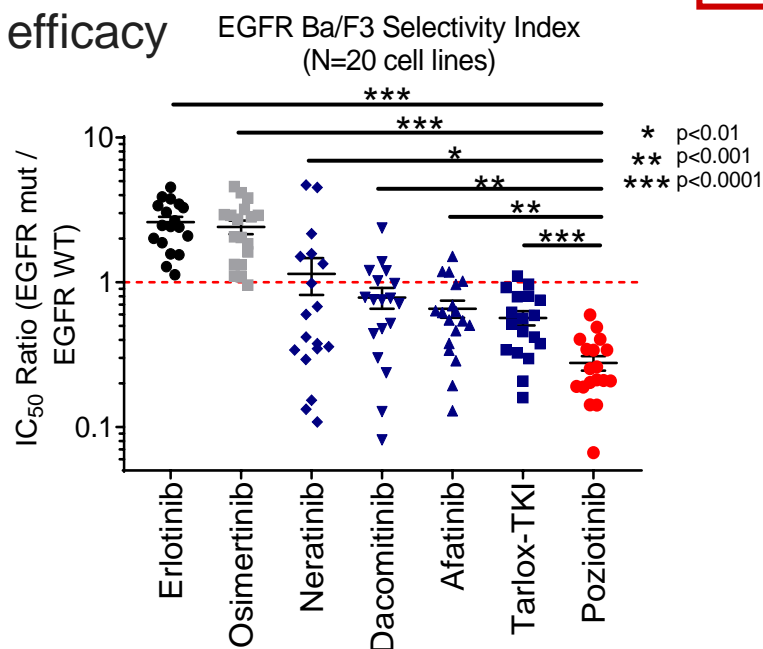
The small size and shape of poziotinib can fit to the binding pocket of exon20



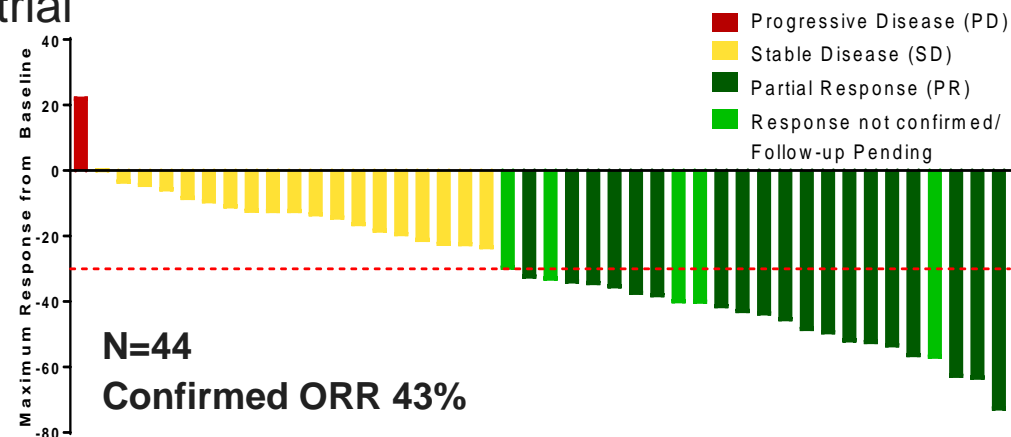
EGFR D770insNPG



## In vitro efficacy



## Clinical efficacy in a single-center phase 2 trial



Robichaux, Heymach et al. Nat Med 2018, Heymach WCLC 2018

# ZENITH20: A Pivotal Multi-center Phase 2 Study in North America and Europe

NSCLC patients with EGFR or HER2 exon 20 insertions

## Key Eligibility Criteria

- NSCLC EGFR or HER2 exon20 insertions
- Point mutations, including T790M, are not allowed
- Brain mets are allowed if stable

**Cohort 1**  
EGFR - previously treated  
(N = 87)

**Cohort 2**  
HER2 - previously treated  
(N = up to 87)

**Cohort 3**  
EGFR - treatment naive  
(N = up to 70)

**Cohort 4**  
HER2 - treatment naive  
(N = up to 70)

Oral daily dose  
28-day cycle

Poziotinib  
16mg QD

## Primary Endpoint

- Objective Response Rate (RECIST 1.1 by IRC)

## Secondary Endpoints

- Disease Control Rate (DCR)
- Duration of Response (DoR)
- PFS (exploratory)
- Safety



## Baseline Patient and Tumor Characteristics (N=115)

Characteristic		N (%)
Median age, years (range)		61 (33, 83)
Sex	Female	77 (67)
Race	White	77 (67)
	Asian	18 (16)
Smoking history	Never smoker	79 (69)
	Former smoker	2 (2)
	Regular smoker	34 (30)
Prior lines of therapy	1	49 (43)
	2	29 (25)
	≥3	37 (32)
Prior EGFR TKI therapy	Yes	29 (25)
	No	86 (75)

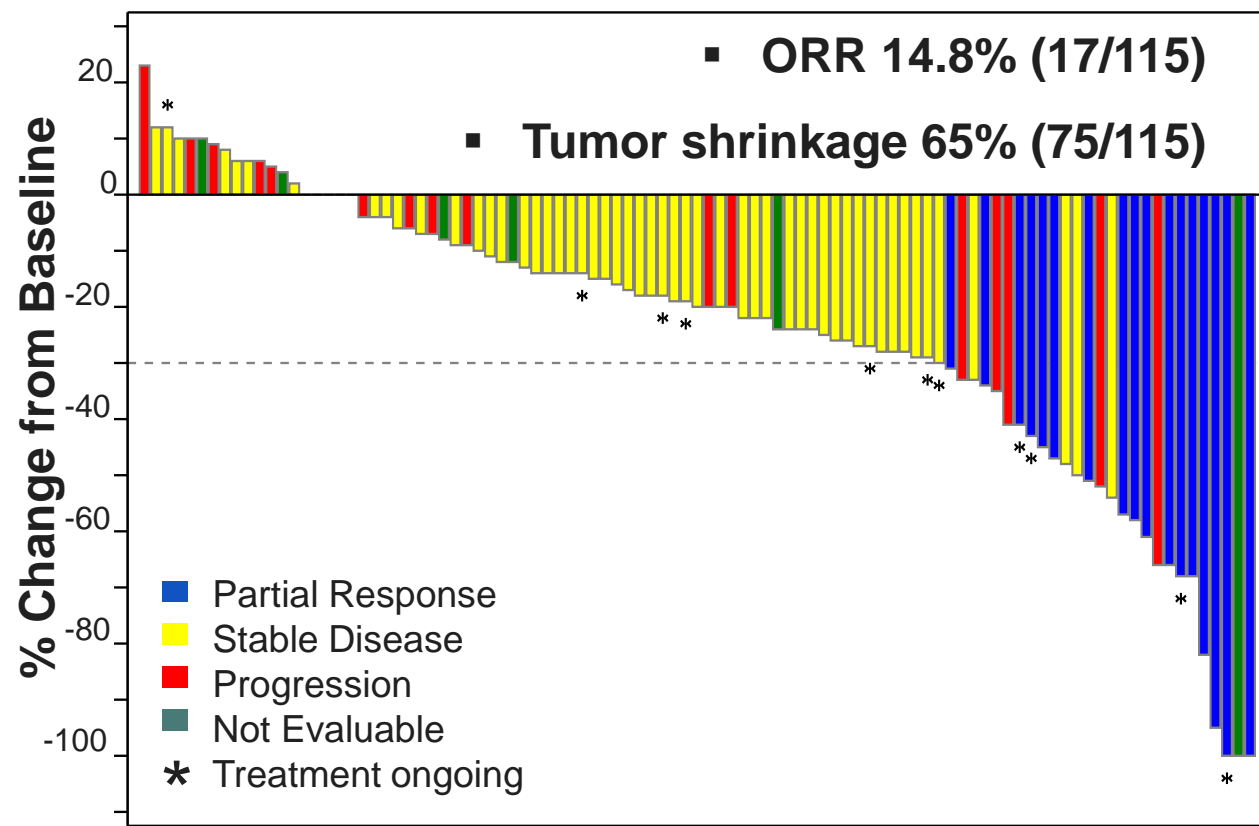
Characteristic		N (%)
Histopathological classification	Adenocarcinoma	112 (98)
	Squamous	3 (3)
	Mixed pathology	0
Disease stage at study entry	III	7 (6)
	IV	105 (91)
Brain metastases	None	103 (90)
	Present	12 (10)
ECOG PS	0	53 (46)
	1	62 (54)

# Cohort 1 Results

<b>Best Overall Response</b>	<b>Intent to treat (N=115) N (%)</b>
<b>Objective Response Rate (ORR)</b> by independent review committee (IRC) 95% Confidence Interval	<b>17 (14.8%)</b> (8.9 - 22.6%)
<b>Disease Control Rate (DCR=CR+PR+SD)</b> 95% Confidence Interval	<b>79 (68.7%)</b> (59.4 - 77.0%)
<b>Duration of Response, Median (months)</b> 95% Confidence Interval	<b>7.4</b> (3.7, 9.7)
<b>Progression-free Survival, Median (months)</b> 95% Confidence Interval	<b>4.2</b> (3.7, 6.6)

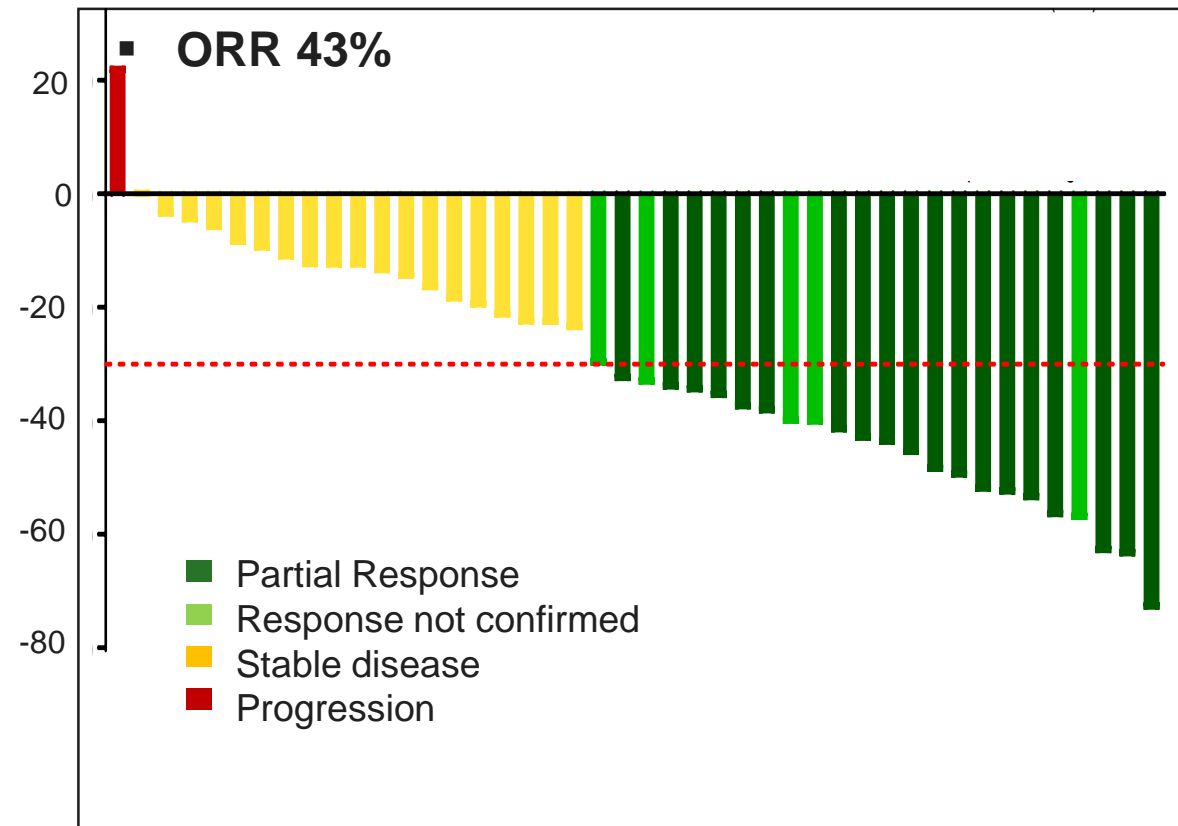
# Efficacy: Tumor Size Reduction

Best change (%) in the sum target lesions  
ZENITH20 cohort 1 (N=97)



Reviewed by Independent Review Committee (IRC)

MDACC single-center study (N=44)



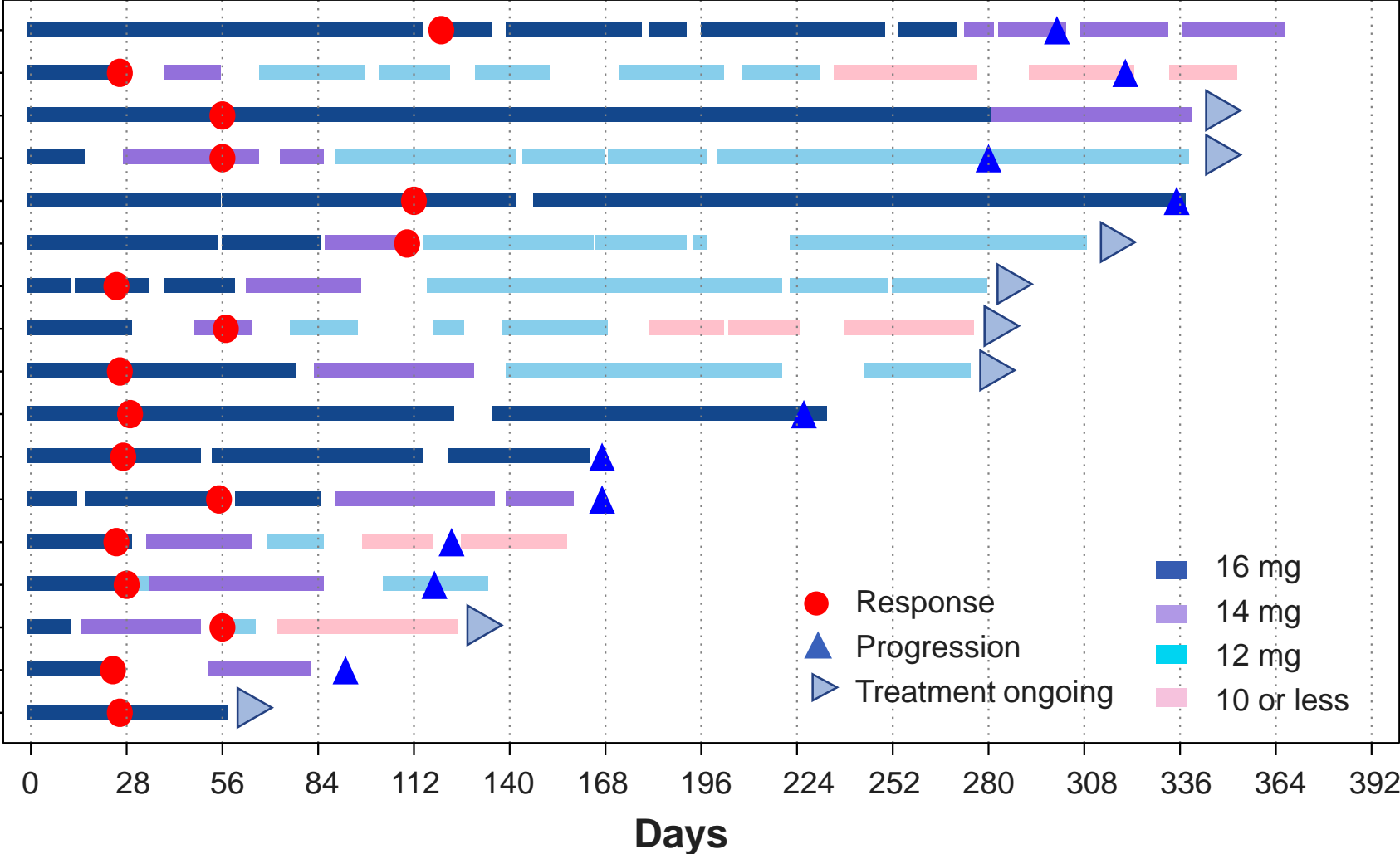
Elamin in preparation, Heymach WCLC 2018

# Safety: Treatment-related Adverse Events

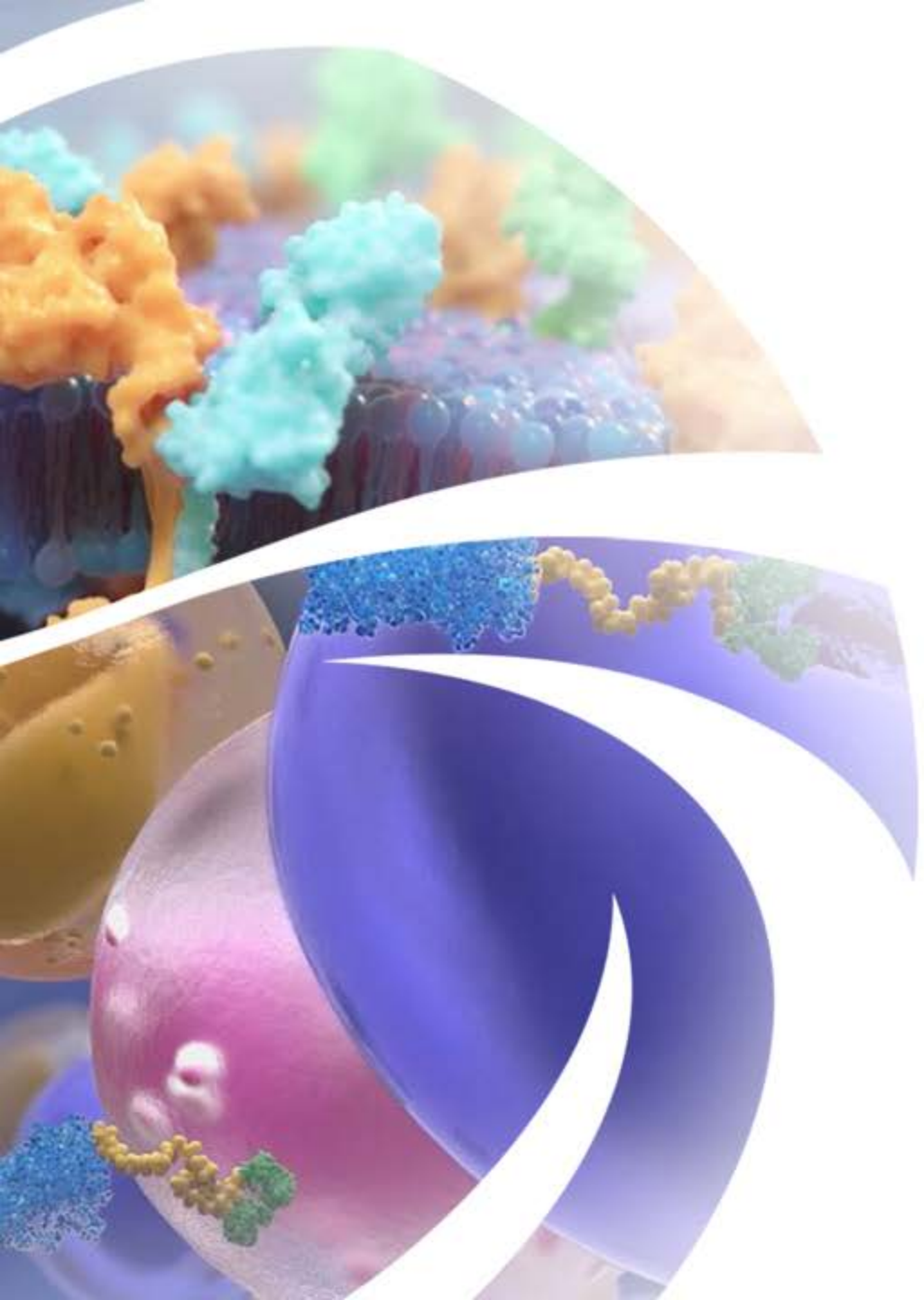
Treatment-related AE	N=115		
	Any Grade n (%)	Grade 3 n (%)	Grade 4 n (%)
Any TRAE	114 (99)	70 (61)	2 (2)
Diarrhea	91 (79)	29 (25)	1 (1)
Rash	69 (60)	32 (28)	0
Stomatitis	60 (52)	10 (9)	0
Paronychia	52 (45)	7 (6)	0
Nausea	44 (38)	3 (3)	0
Decreased appetite	36 (31)	2 (2)	0
Mucosal inflammation	34 (30)	8 (7)	0
Dry skin	33 (29)	3 (3)	0
Vomiting	33 (29)	1 (1)	0
Alopecia	31 (27)	0	0
Dermatitis acneiform	30 (26)	8 (7)	1 (1)
Fatigue	30 (26)	6 (5)	0
Pruritus	29 (25)	5 (4)	0

- Drug interruption rate 88%
- Dose reduction rate 68%
- Permanent discontinuation due to treatment-related AE = 12 (10%)
- No Grade 5 treatment related AE
- Most common treatment-related AEs (any grade) include:
  - Rash
  - Diarrhea
  - Stomatitis
  - Paronychia

# Efficacy: Duration of Response



- Median duration of response: 7.4 months (95% CI 3.7,9.7)
- Responses occurred early and were durable



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# ZENITH20

## Strategy and Next Steps

Francois Lebel, MD | Chief Medical Officer

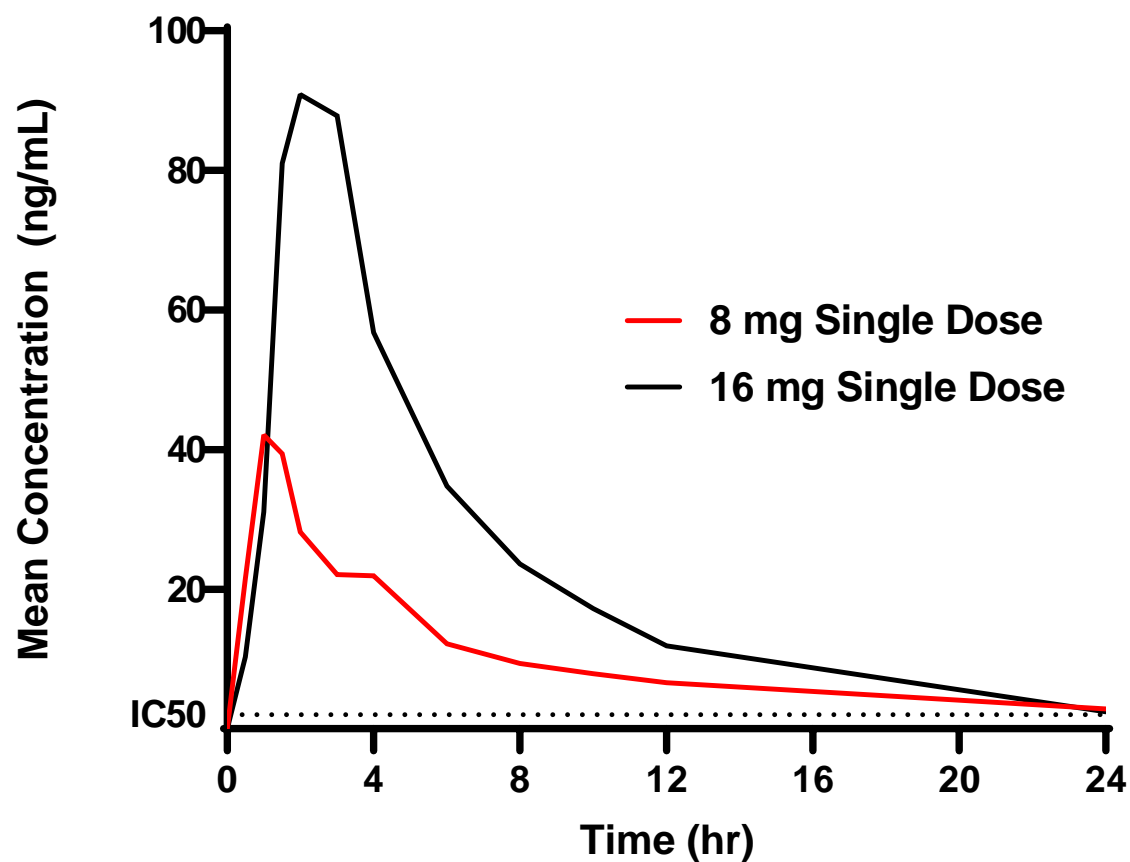
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# TKI Half-life and Dose

Test Article	Plasma 1/2 Life (hr)	Plasma Accumulation	Dose (mg)
Poziotinib	7.9	No	12-16 QD
TAK-788	16	No	160 QD
Osimertinib	48	Yes	80 QD
Neratinib	14.6	No	240 QD 6 tabs
Afatinib	37	Yes	20-50 QD

# Poziotinib Pharmacokinetics

## Poziotinib Pharmacokinetics (Study HM-PRI-101)



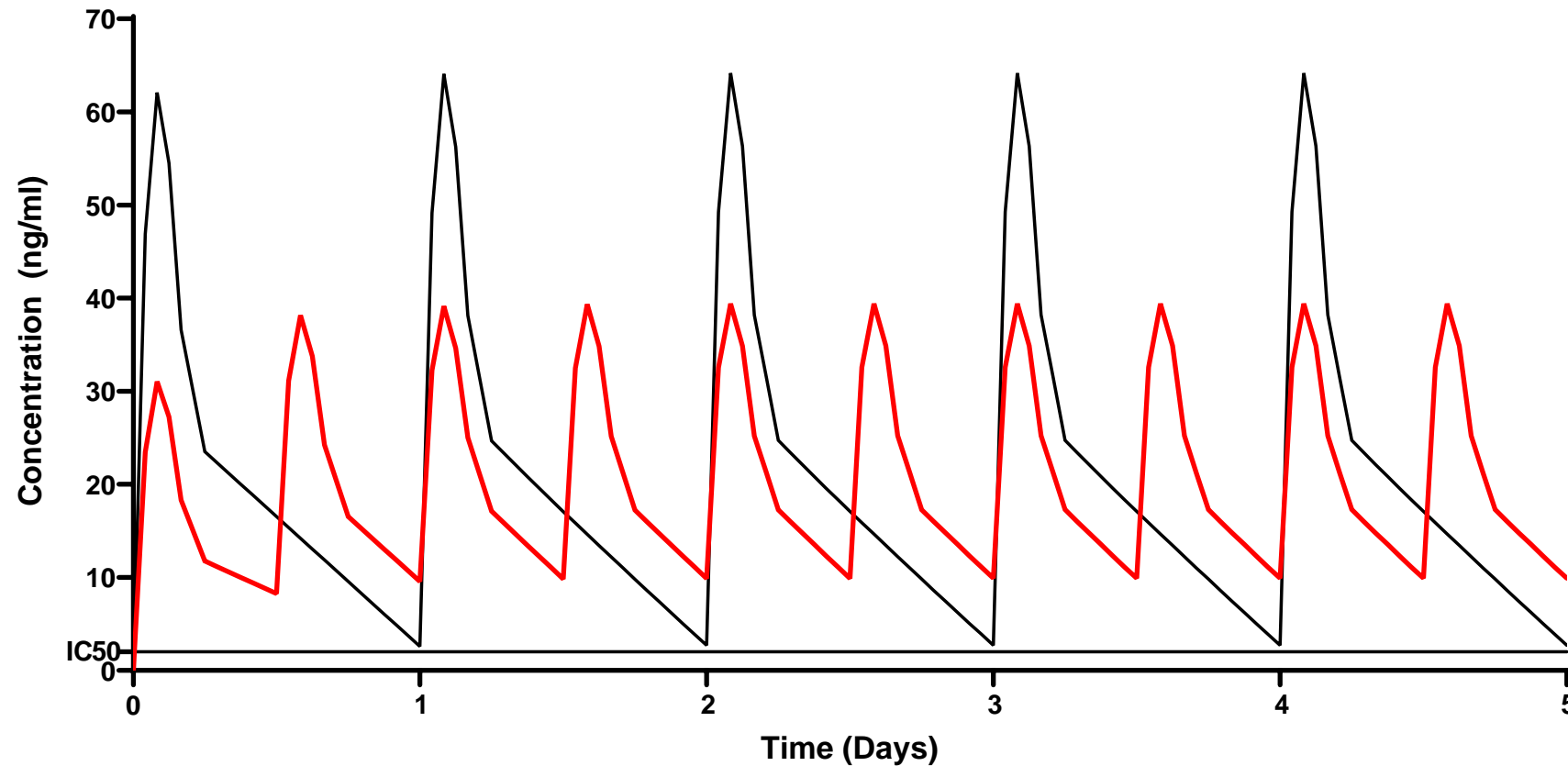
Parameter	Geometric Mean (Range)			
	8 mg Poziotinib		16 mg Poziotinib	
	Day 1	Day 14	Day 1	Day 14
$C_{max}$ (ng/mL)	42.0 (35.5-49.5)	38.8 (29.6-53.9)	64.6 (45.8-90.9)	79.8 (49.6-160)
$T_{max}$ (hr)	1.2 (1.0-1.6)	1.8 (1.0-4.0)	2.0 (1.0-3.0)	1.3 (1.0-2.0)
$AUC_{0-24}$ (ng·hr/mL)	262.1 (189.2-379.6)	273.63 (207.2-358.2)	468.2 (267.1-668.3)	450.7 (258.1-904.4)
$t_{1/2}$ (hr)	8.0 (5.9-9.4)	10.4 (8.3-13.2)	5.8 (4.85-7.4)	7.2 (4.58-9.9)
CL/F (L/hr)	27.2 (18.0-40.1)	24.3 (17.1-35.4)	32.5 (23.3-58.7)	35.1 (16.6-60.9)
Vz/F (L)	312.6 (240.3-373.7)	363.8 (327.4-424.5)	271.0 (162.9-416.6)	362.4 (145.0-536.6)



# Simulated Poziotinib Plasma Concentrations

## 8 mg BID vs. 16 mg QD

Subject US010-002  
(Simulated Concentrations)



- BID dosing
  - Decreases Cmax
  - Maintains Ctrough above IC50
- Pozi IC50 4nM (2ng/ml)-T790m

— 8 mg Q12H

— 16 mg QD

# Highlights of Amendment

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- Cohorts 1, 2, 3 are fully enrolled – No change to dosing
- Cohorts 4, 6, 7 – New patients on 8 mg BID dosing
- Cohort 5 – New patients being randomized to 10 mg, 6 or 8 mg BID dosing
- Minimize dose interruptions
- New recommendations for rash management
  - Intensified monitoring
  - Initiate steroids earlier

# ZENITH20: Continued Enrollment with New Cohorts

NSCLC patients with EGFR or HER2 exon 20 insertions

## Key Eligibility Criteria

- NSCLC EGFR or HER2 exon20 insertions
- Point mutations, including T790M, are not allowed
- Brain mets are allowed if stable

Osimertinib-resistant with EGFR mutations

Atypical EGFR or HER2 mutations

**Cohort 1**  
EGFR - previously treated

**Cohort 2**  
HER2 - previously treated

**Cohort 3**  
EGFR – treatment naive

**Cohort 4**  
HER2 – treatment naive

**Cohort 5**  
EGFR or HER2 exon 20

**Cohort 6**  
EGFR osimertinib failure

**Cohort 7**  
Atypical EGFR or HER2 mutations

Oral daily dose  
28-day cycle

16mg QD

8mg BID

Randomized to  
10mg QD, 6mg  
BID, 8mg BID

8mg BID

## Primary Endpoint

- Objective Response Rate (RECIST 1.1)

## Secondary Endpoints

- Duration of response
- Disease control rate
- PFS (exploratory)
- Safety

# Conclusions

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- Pozitotinib has demonstrated clinical activity in previously treated NSCLC patients with EGFR exon 20 insertions
- Dose reductions and interruptions due to AEs may have led to reduced efficacy
- PK modeling support BID dosing while reducing  $C_{\max}$  and maintaining  $C_{\text{trough}}$  above  $IC_{50}$  level

# Questions